

Organization	ABC INC.
Client	777
Period	JAN2004

Date	23/08/2006 09:55:39
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Risk Level	High
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PRESET : F000400 - CREATE MASTER RECORD & POST DOCUMENT

Risk	A user can create fictitious General Ledger accounts and post journal entries to these accounts which may go undetected
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Serial	User ID		User Group		Create Master Record	Post Document
1	A00001	Adam Baum			FS01	FB01
2	A00011	Anne Teak			FS01	FB01
3	C00036	Chris Coe			FS01	FB01
4	C00038	Chris P. Bacon	SUPER		FS01	FB01
5	C00365	Claude N. Skretchem			FS01	FB01
6	C00367	Colette A. Day			FS01	FB01
7	C00372	Curt Zee		L	FS01	FB01
8	D00215	Dashaund Coleman				FB01
9	D00224	Denman Moody			FS01	FB01
10	D00377	Dan Druff				FB01
11	D00378	Des Buratto				FB01
12	D00379	Dinah Sore				FB01
13	D00380	Drew Blood				FB01
14	D00381	Dustin D. Furniture	SUPER		FS01	FB01
15	D00382	Dwayne Pipes			FS01	FB01
16	E00384	Ed Venture			FS01	FB01
17	G00398	Gene E. Yuss			FS01	FB01
18	G00401	Gil T. Azell			FS01	FB01
19	G00402	Gladys Eeya			FS01	FB01
20	H00075	Heidi Clare			FS01	FB01
21	H00403	Hal E. Luya			FS01	FB01
22	H00407	Harris Mint			FS01	FB01
23	J00086	Jean Poole			FS01	FB01
24	J00087	Jenny Tee			FS01	FB01
25	J00427	Jan U. Wharry			FS01	FB01
26	J00437	Jane Doe	SUPER		FS01	FB01
				[21]	21	26

Organization	ABC INC.
Client	777
Period	JAN2004

Date	23/08/2006 09:55:48
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Risk Level	High
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PRESET : P001704 - CREATE VENDOR (ACCOUNTING) & CREATE PURCHASE ORDER

Risk	A user can create a fictitious vendor account and make an unauthorized purchase of goods from the fictitious vendor
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Serial	User ID	User Group	Create Vendor (accounting)	Create Purchase Order
1	A00001	Adam Baum	FK01	ME21
2	A00005	Al Fresco		ME21
3	A00006	Al K. Seltzer		ME21
4	A00007	Alf A. Romeo		ME21
5	A00011	Anne Teak	FK01	ME21
6	A00318	Ariel Hassle		ME21
7	A00434	April Mae		ME21
8	B00029	Buster Cherry		ME21
9	B00078	Bea Lowe		ME21
10	C00032	Candy Barr		ME21
11	C00035	Cheri Pitts		ME21
12	C00036	Chris Coe	FK01	ME21
13	C00038	Chris P. Bacon	SUPER FK01	ME21
14	C00039	Chuck U. Farley		ME21
15	C00042	Constance Noring	FK01	ME21
16	C00046	Crystal Ball		ME21
17	C00195	Condor Soaring		ME21
18	C00196	Cornelius Tollfree		ME21
19	C00198	Courvosier Martell		ME21
20	C00203	Crystal Bright		ME21
21	C00345	Candice B. DePlace	FK01	ME21
22	C00359	Chris P. Nugget		ME21
23	C00365	Claude N. Skretchem	FK01	ME21
24	C00366	Cody Pendant	FK01	ME21
25	C00367	Colette A. Day	FK01	ME21
26	C00372	Curt Zee	L FK01	ME21
27	C00373	Cy Burns	FK01	ME21
28	C00374	Cy Kosis		ME21
29	D00049	D. Liver		ME21
30	D00050	Dan D. Lyons	FK01	
31	D00051	Dan Druff	FK01	
32	D00053	Doris Schutt	FK01	
33	D00054	Doug Witherspoon		ME21
34	D00209	Daisy Field		ME21
35	D00214	Dartanyun Riggs		ME21
36	D00215	Dashaund Coleman	FK01	
37	D00216	David Warmflash		ME21
38	D00217	Deathena Barr		ME21
39	D00224	Denman Moody	FK01	ME21
40	D00226	Dent Teen Murray	FK01	ME21

Serial	User ID	User Group	Create Vendor (accounting)	Create Purchase Order	
41	D00228	Detroit Dunn		ME21	
42	D00375	Dale E. Bread		ME21	
43	D00377	Dan Druff	FK01	ME21	
44	D00378	Des Buratto		ME21	
45	D00379	Dinah Sore		ME21	
46	D00380	Drew Blood		ME21	
47	D00381	Dustin D. Furniture	SUPER	FK01	ME21
48	D00382	Dwayne Pipes		FK01	ME21
49	E00060	Eaton Wright		ME21	
50	E00384	Ed Venture		FK01	ME21
51	E00391	Eve Ning		ME21	
52	E00392	Eve O'Lution		ME21	
53	F00064	Ferris Wheeler		ME21	
54	F00396	Freida Convict		ME21	
55	F00397	Frank N. Stein		ME21	
56	G00398	Gene E. Yuss		FK01	ME21
57	G00399	Gene Poole		FK01	ME21
58	G00401	Gil T. Azell		FK01	ME21
59	G00402	Gladys Eeya		FK01	ME21
60	H00071	Hamilton Burger		ME21	
61	H00072	Harry Caray		FK01	ME21
62	H00075	Heidi Clare		FK01	ME21
63	H00079	Hy Lowe		ME21	
64	H00403	Hal E. Luya		FK01	ME21
65	H00407	Harris Mint		FK01	ME21
66	H00408	Harrison Fire		FK01	
67	H00409	Hayden Seek		FK01	
68	H00410	Hein Noon		FK01	
69	I00421	Igor Beaver		FK01	
70	I00424	Ira Fuse			ME21
71	J00083	Justin Time			ME21
72	J00086	Jean Poole		FK01	ME21
73	J00087	Jenny Tee		FK01	ME21
74	J00088	Justin Hale		FK01	ME21
75	J00089	Jo King			ME21
76	J00092	Justin Case		FK01	
77	J00427	Jan U. Wharry		FK01	ME21
78	J00431	Jim Nasium			ME21
79	J00432	Joe King			ME21
80	J00437	Jane Doe	SUPER	FK01	ME21
81	K00093	Kandi Apple		FK01	
82	K00094	Kenny Penny		FK01	
83	K00095	Kerry Oki		FK01	
84	L00098	Laura Lynne Hardy			ME21
85	L00101	Les Moore			ME21
86	L00104	Lou Zar			ME21
87	M00112	Mary Christmas			ME21

Serial	User ID	User Group	Create Vendor (accounting)	Create Purchase Order
88	M00114	Max Little		ME21
89	M00115	Max Power		ME21
90	M00116	May Day		ME21
91	M00117	May Furst		ME21
92	M00118	Mel Loewe		ME21
93	M00119	Melody Music		ME21
94	M00120	Mike Easter		ME21
95	M00121	Mike Hunt		ME21
96	M00122	Mike Raffone		ME21
97	M00123	Mike Rotch		ME21
98	M00124	Minnie van Gogh		ME21
99	M00125	Misty Waters		ME21
100	M00126	Mona Lott		ME21
101	M00128	Myles Long		ME21
102	N00129	Nat Sass		ME21
103	N00130	Neil Down		ME21
104	N00131	Neil McNeil		ME21
105	N00132	Noah Riddle		ME21
106	O00133	Olive Yew		ME21
107	O00135	Oren Jellow		ME21
108	P00136	P. Brain		ME21
109	P00148	Polly Ester		ME21
110	P00149	Post, Mark		ME21
111	R00151	R. M. Pitt		ME21

Organization	ABC INC.
Client	777
Period	JAN2004

Date	23/08/2006 09:56:00
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Risk Level	High
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PRESET : P004707 - GOODS RECEIPT FROM EXT. PROCUREMENT & CREATE PURCHASE ORDER

Risk	A user make unauthorized Purchase of goods and initiate payment to the vendor by receiving the items into inventory.
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Serial	User ID	User Group	Goods Receipt From Ext. Procurement	Create Purchase Order
1	A00001	Adam Baum		ME21
2	A00005	Al Fresco		ME21
3	A00006	Al K. Seltzer		ME21
4	A00007	Alf A. Romeo		ME21
5	A00011	Anne Teak	MIGO	ME21
6	A00318	Ariel Hassle		ME21
7	A00434	April Mae		ME21
8	B00029	Buster Cherry		ME21
9	B00078	Bea Lowe		ME21
10	C00032	Candy Barr		ME21
11	C00035	Cheri Pitts		ME21
12	C00036	Chris Coe		ME21
13	C00038	Chris P. Bacon	SUPER	MIGO
14	C00039	Chuck U. Farley		ME21
15	C00042	Constance Noring		MIGO
16	C00046	Crystal Ball		ME21
17	C00195	Condor Soaring		ME21
18	C00196	Cornelius Tollfree		ME21
19	C00198	Courvosier Martell		ME21
20	C00203	Crystal Bright		ME21
21	C00345	Candice B. DePlace		MIGO
22	C00359	Chris P. Nugget		ME21
23	C00365	Claude N. Skretchem		MIGO
24	C00366	Cody Pendant		MIGO
25	C00367	Colette A. Day		MIGO
26	C00372	Curt Zee	L	MIGO
27	C00373	Cy Burns		MIGO
28	C00374	Cy Kosis		ME21
29	D00049	D. Liver		ME21
30	D00054	Doug Witherspoon		ME21
31	D00209	Daisy Field		ME21
32	D00214	Dartanyun Riggs		ME21
33	D00216	David Warmflash		ME21
34	D00217	Deathena Barr		ME21
35	D00224	Denman Moody		MIGO
36	D00226	Dent Teen Murray		MIGO
37	D00228	Detroit Dunn		ME21
38	D00375	Dale E. Bread		ME21
39	D00377	Dan Druff		ME21
40	D00378	Des Buratto		ME21

Serial	User ID		User Group		Goods Receipt From Ext. Procurement	Create Purchase Order
41	D00379	Dinah Sore				ME21
42	D00380	Drew Blood				ME21
43	D00381	Dustin D. Furniture	SUPER		MIGO	ME21
44	D00382	Dwayne Pipes			MIGO	ME21
45	E00060	Eaton Wright				ME21
46	E00384	Ed Venture			MIGO	ME21
47	E00391	Eve Ning				ME21
48	E00392	Eve O'Lution				ME21
49	F00064	Ferris Wheeler				ME21
50	F00396	Freida Convict				ME21
51	F00397	Frank N. Stein				ME21
52	G00398	Gene E. Yuss			MIGO	ME21
53	G00399	Gene Poole			MIGO	ME21
54	G00401	Gil T. Azell			MIGO	ME21
55	G00402	Gladys Eeya			MIGO	ME21
56	H00071	Hamilton Burger				ME21
57	H00072	Harry Caray			MIGO	ME21
58	H00075	Heidi Clare				ME21
59	H00079	Hy Lowe				ME21
60	H00403	Hal E. Luya			MIGO	ME21
61	H00407	Harris Mint			MIGO	ME21
62	I00424	Ira Fuse				ME21
63	J00083	Justin Time				ME21
64	J00086	Jean Poole			MIGO	ME21
65	J00087	Jenny Tee			MIGO	ME21
66	J00088	Justin Hale			MIGO	ME21
67	J00089	Jo King				ME21
68	J00427	Jan U. Wharry			MIGO	ME21
69	J00431	Jim Nasium				ME21
70	J00432	Joe King				ME21
71	J00437	Jane Doe	SUPER		MIGO	ME21
72	L00098	Laura Lynne Hardy				ME21
73	L00101	Les Moore				ME21
74	L00104	Lou Zar				ME21
75	M00112	Mary Christmas				ME21
76	M00114	Max Little				ME21
77	M00115	Max Power				ME21
78	M00116	May Day				ME21
79	M00117	May Furst				ME21
80	M00118	Mel Loewe				ME21
81	M00119	Melody Music				ME21
82	M00120	Mike Easter				ME21
83	M00121	Mike Hunt				ME21
84	M00122	Mike Raffone				ME21
85	M00123	Mike Rotch				ME21
86	M00124	Mিনny van Gogh				ME21
87	M00125	Misty Waters				ME21

Serial	User ID	User Group	Goods Receipt From Ext. Procurement	Create Purchase Order
88	M00126	Mona Lott		ME21
89	M00128	Myles Long		ME21
90	N00129	Nat Sass		ME21
91	N00130	Neil Down		ME21
92	N00131	Neil McNeil		ME21
93	N00132	Noah Riddle		ME21
94	O00133	Olive Yew		ME21
95	O00135	Oren Jellow		ME21
96	P00136	P. Brain		ME21
97	P00148	Polly Ester		ME21
98	P00149	Post, Mark		ME21
99	R00151	R. M. Pitt		ME21
			[26]	99

Organization	ABC INC.
Client	777
Period	JAN2004

Date	23/08/2006 09:56:11
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Risk Level	High
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PRESET : S001204 - CREATE CUSTOMER (ACCOUNTING) & CREATE SALES ORDER

Risk	A user can create a fictitious customer and initiate unauthorized delivery and billing by creating a Sales Order
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Serial	User ID	User Group	Create Customer (accounting)	Create Sales Order	
1	A00001	Adam Baum	FD01	V-01	
2	A00011	Anne Teak	FD01	V-01	
3	C00036	Chris Coe	FD01	V-01	
4	C00038	Chris P. Bacon	SUPER	FD01	V-01
5	C00042	Constance Noring	FD01	V-01	
6	C00345	Candice B. DePlace	FD01	V-01	
7	C00365	Claude N. Skretchem	FD01	V-01	
8	C00366	Cody Pendant	FD01	V-01	
9	C00367	Colette A. Day	FD01	V-01	
10	C00372	Curt Zee	L	FD01	V-01
11	C00373	Cy Burns	FD01	V-01	
12	D00053	Doris Schutt	FD01		
13	D00219	Decatur Duplesses	FD01		
14	D00224	Denman Moody	FD01	V-01	
15	D00226	Dent Teen Murray	FD01	V-01	
16	D00381	Dustin D. Furniture	SUPER	FD01	V-01
17	D00382	Dwayne Pipes	FD01	V-01	
18	E00384	Ed Venture	FD01	V-01	
19	G00398	Gene E. Yuss	FD01	V-01	
20	G00399	Gene Poole	FD01	V-01	
21	G00401	Gil T. Azell	FD01	V-01	
22	G00402	Gladys Eeya	FD01	V-01	
23	H00072	Harry Caray	FD01	V-01	
24	H00075	Heidi Clare	FD01	V-01	
25	H00403	Hal E. Luya	FD01	V-01	
26	H00407	Harris Mint	FD01	V-01	
27	I00421	Igor Beaver	FD01		
28	J00086	Jean Poole	FD01	V-01	
29	J00087	Jenny Tee	FD01	V-01	
30	J00088	Justin Hale	FD01	V-01	
31	J00427	Jan U. Wharry	FD01	V-01	
32	J00437	Jane Doe	SUPER	FD01	V-01

SEGREGATION OF DUTIES PARAMETER SELECTION LIST

Locked Users Excluded	No	Excluded Users		Print only for Users who have access to ALL Trans.	No
Expired Users Excluded	No			Print only for Users with access to 1st Trans. + one	No
SAP* Excluded	No			Do not generate Preset Summary Reports	No
DDIC Excluded	No			Do not generate Profile Summary Reports	Yes
SAPCPIC Excluded	No			Do not generate Profile Detail Reports	Yes
EARLYWATCH Excluded	No			Exclude Presets with Compensating Controls	No
User Group SUPER Excluded	No	Excluded Groups		Run Reports at TCODE Level Only	No
				Exclude S_TCODE Authorization Check	No
				Exclude Deactivated Authorizations	Yes
				Total Number of Users Analysed	437 of 437
				Profile Summary Report Format	Complex
				Profile Detail Report Format	Individual
				User Reporting Group	

BATCH01 SAMPLE BATCH

Sl	Transaction	Object	Field	Value 1	Value 2	Value 3	Value 4	Value 5	
F000400 CREATE MASTER RECORD & POST DOCUMENT									
1	FS01	F_SKA1_BUK	ACTVT	01					C
	FS01	F_SKA1_BUK	BUKRS	%					
	FS01	F_SKA1_KTP	ACTVT	01					
	FS01	F_SKA1_KTP	KTOPL	%					
	FS01	S_TCODE	TCD	FS01					
2	FB01	F_BKPF_BUK	ACTVT	01					C
	FB01	F_BKPF_BUK	BUKRS	%					
	FB01	F_BKPF_GSB	ACTVT	01					
	FB01	F_BKPF_GSB	GSBER	%					
	FB01	F_BKPF_KOA	ACTVT	01					
	FB01	F_BKPF_KOA	KOART	%					
	FB01	S_TCODE	TCD	FB01					
P001704 CREATE VENDOR (ACCOUNTING) & CREATE PURCHASE ORDER									
1	FK01	F_LFA1_APP	ACTVT	01					C
	FK01	F_LFA1_APP	APPKZ	F					
	FK01	F_LFA1_BEK	ACTVT	01					
	FK01	F_LFA1_BEK	BRGRU	%					
	FK01	F_LFA1_BUK	ACTVT	01					
	FK01	F_LFA1_BUK	BUKRS	%					
	FK01	S_TCODE	TCD	FK01					
2	ME21	M_BEST_BSA	ACTVT	01					C
	ME21	M_BEST_BSA	BSART	%					
	ME21	M_BEST_EKG	ACTVT	01					
	ME21	M_BEST_EKG	EKGRP	%					
	ME21	M_BEST_EKO	ACTVT	01					
	ME21	M_BEST_EKO	EKORG	%					
	ME21	M_BEST_WRK	ACTVT	01					
	ME21	M_BEST_WRK	WERKS	%					
	ME21	S_TCODE	TCD	ME21					

P004707 GOODS RECEIPT FROM EXT. PROCUREMENT & CREATE PURCHASE ORDER

Sl	Transaction	Object	Field	Value 1	Value 2	Value 3	Value 4	Value 5	
1	MIGO	M_MRES_WWA	ACTVT	01					M
	MIGO	M_MRES_WWA	WERKS	%					
	MIGO	M_MSEG_LGO	ACTVT	01					
	MIGO	M_MSEG_LGO	BWART	%					
	MIGO	M_MSEG_LGO	LGORT	%					
	MIGO	M_MSEG_LGO	WERKS	%					
	MIGO	M_MSEG_WMB	ACTVT	01					
	MIGO	M_MSEG_WMB	WERKS	%					
	MIGO	M_MSEG_WWA	ACTVT	01					
	MIGO	M_MSEG_WWA	WERKS	%					
	MIGO	M_MSEG_WWE	ACTVT	01					
	MIGO	M_MSEG_WWE	WERKS	%					
	MIGO	S_TCODE	TCD	MIGO					
2	ME21	M_BEST_BSA	ACTVT	01					C
	ME21	M_BEST_BSA	BSART	%					
	ME21	M_BEST_EKG	ACTVT	01					
	ME21	M_BEST_EKG	EKGRP	%					
	ME21	M_BEST_EKO	ACTVT	01					
	ME21	M_BEST_EKO	EKORG	%					
	ME21	M_BEST_WRK	ACTVT	01					
	ME21	M_BEST_WRK	WERKS	%					
	ME21	S_TCODE	TCD	ME21					

S001204 CREATE CUSTOMER (ACCOUNTING) & CREATE SALES ORDER

1	FD01	F_KNA1_APP	ACTVT	01					C
	FD01	F_KNA1_APP	APPKZ	F					
	FD01	F_KNA1_BED	ACTVT	01					
	FD01	F_KNA1_BED	BRGRU	%					
	FD01	F_KNA1_BUK	ACTVT	01					
	FD01	F_KNA1_BUK	BUKRS	%					
	FD01	S_TCODE	TCD	FD01					
2	V-01	B_USERSTAT	ACTVT	01					C
	V-01	B_USERSTAT	ACTVT	06					
	V-01	B_USERSTAT	BERSL	%					
	V-01	B_USERSTAT	OBTYP	%					
	V-01	B_USERSTAT	STSMA	%					
	V-01	K_CKBS	ACTVT	01					
	V-01	K_CKBS	ACTVT	02					
	V-01	K_CKBS	KOKRS	%					
	V-01	K_KEKO	ACTVT	16					
	V-01	K_KEKO	BUKRS	%					
	V-01	K_KEKO	KLVAR	%					
	V-01	V_VBAK_AAT	ACTVT	01					
	V-01	V_VBAK_AAT	ACTVT	02					
	V-01	V_VBAK_AAT	ACTVT	05					

SI	Transaction	Object	Field	Value 1	Value 2	Value 3	Value 4	Value 5
	V-01	V_VBAK_AAT	ACTVT	06				
	V-01	V_VBAK_AAT	ACTVT	24				
	V-01	V_VBAK_AAT	ACTVT	25				
	V-01	V_VBAK_AAT	ACTVT	43				
	V-01	V_VBAK_AAT	ACTVT	C1				
	V-01	V_VBAK_AAT	ACTVT	C3				
	V-01	V_VBAK_AAT	AUART	%				
	V-01	V_VBAK_VKO	ACTVT	01				
	V-01	V_VBAK_VKO	ACTVT	02				
	V-01	V_VBAK_VKO	ACTVT	06				
	V-01	V_VBAK_VKO	ACTVT	24				
	V-01	V_VBAK_VKO	ACTVT	25				
	V-01	V_VBAK_VKO	SPART	%				
	V-01	V_VBAK_VKO	VKORG	%				
	V-01	V_VBAK_VKO	VTWEG	%				
	V-01	S_TCODE	TCD	V-01				

03/09/27/28/29/53/54/56/58/A5/B1/C2/

Organization	ABC INC.
Client	777
Period	JAN2004

Date	23/08/2006 09:56:15
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SEGREGATION OF DUTIES BY USER - CONSOLIDATED SUMMARY
 BATCH : BATCH01 - SAMPLE BATCH

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Sl.	Preset	Segregation of Duties Violation Definition	No./Users	Compensating Controls
1	F000400	Create Master Record & Post Document [All] [Risk (H) : A user can create fictitious General Ledger accounts and post journal entries to these accounts which may go undetected] Create Master Record (FS01) Post Document (FB01)	[21] 21 26	All changes to General Ledger Master records are manually reviewed and approved on a weekly basis.
2	P001704	Create Vendor (accounting) & Create Purchase Order [All] [Risk (H) : A user can create a fictitious vendor account and make an unauthorized purchase of goods from the fictitious vendor] Create Vendor (Accounting) (FK01) Create Purchase Order (ME21)	[30] 42 99	
3	P004707	Goods Receipt From Ext. Procurement & Create Purchase Order [All] [Risk (H) : A user make unauthorized Purchase of goods and initiate payment to the vendor by receiving the items into inventory.] Goods Receipt From Ext. Procurement (MIGO) Create Purchase Order (ME21)	[26] 26 99	
4	S001204	Create Customer (accounting) & Create Sales Order [All] [Risk (H) : A user can create a fictitious customer and initiate unauthorized delivery and billing by creating a Sales Order] Create Customer (Accounting) (FD01) Create Sales Order (V-01)	[29] 32 29	

End of Report